

University of California, Berkeley

**Graduate Study
in
English**



2018-2019

THE Ph.D. PROGRAM

The doctoral degree requires satisfactory completion of the following five requirements:

- 1) Thirteen courses undertaken in graduate status at Berkeley;
- 2) Course work in a broad range of fields of English and American literature;
- 3) Demonstration of competence in two foreign languages, or advanced knowledge of one, relevant to the student's research interests;
- 4) An oral qualifying examination;
- 5) A prospectus and dissertation.

The normative time to complete the doctoral program is six years (twelve semesters).

REQUIREMENT 1: COURSES

The general goal of the first two years of the program is to assure that the student has a broad and varied knowledge of the fields of British and American literature in their historical dimensions, and is also familiar with a wide range of literary forms, critical approaches, and scholarly methods. A coherent demonstration of this knowledge is the aim of the course and breadth requirements and of the system of regular advising and evaluation of student work. At the end of the two-year period, the student's record is reviewed in its entirety in order to ascertain whether he or she is able and ready to proceed to the qualifying examination and the more specialized phase of Ph.D. study.

Students will complete twelve 4-unit graduate-level courses, and remove all incomplete grades, before taking the qualifying examination. (A required thirteenth course in reading and composition pedagogy may be taken later.) If a student has not previously completed a college course in Shakespeare, such a course (graduate or upper-division) must be taken at Berkeley. If the Shakespeare course has been taken *prior* to enrollment at Berkeley, credit for this course counts as one of a maximum of three classes for which a student can get graduate-level course credit (see page 3). The distribution of the twelve courses is as follows:

- 1) English 200, "Problems in the Study of Literature"
- 2) Medieval through Sixteenth Century
- 3) Seventeenth through Eighteenth Century
- 4) Nineteenth Century
- 5) Twentieth Century
- 6) A course organized in terms other than chronological coverage (special problems, theory, minority discourse, etc.)
- 7-12) Elective courses
- *) Shakespeare

At least seven courses must be drawn from English Department offerings; however, students are strongly encouraged to take courses offered in other departments, in part to work with outside faculty members who may later serve on qualifying exam and dissertation committees. At least one course must be an English 250 seminar, requiring a substantial piece of writing. Four courses (#2-#5 above) will be devoted to fulfilling the historical breadth requirement (see page 3).

Upper-division or graduate courses in a foreign language may count toward the twelve-course requirement,

whether they also are used to fulfill the language requirement or not.

Up to two independent studies, English 298 (Directed Reading) courses, may count toward the twelve-course requirement, with the approval of the Graduate Chair. English 298s should meet a minimum of one hour per week; instructors should provide a course description and a sequence of readings at the beginning of the semester, and should assign at least twenty pages of student writing over the course of the semester. With the consent of the instructor, students may also enroll in English 298s in conjunction with undergraduate upper-division lecture courses (100-series courses) and when employed as Readers for undergraduate courses (see page 13). Reading and writing assignments should be commensurate with those of standard graduate seminars and, when possible, students should meet with the instructor once a week.

Students who have completed graduate-level course work at other institutions may submit a written petition to the Graduate Chair requesting that specific courses be considered for credit (transferred) toward satisfying course and breadth requirements. The petition should provide a brief description of the course(s) to be considered. **In no case will such credit be given for more than three courses (including Shakespeare).**

English 200, "Problems in the Study of Literature," is taken by entering students in the fall semester. Students who have done previous graduate work elsewhere may petition for a waiver of English 200 by submitting to the Graduate Chair a letter describing an equivalent course they have successfully completed, reviewing any research projects already done in graduate courses, and enclosing a transcript.

All courses fulfilling the course requirement, except for the pedagogy course, must be taken for a letter grade. (So must Independent Study/Directed Research courses numbered 298.) Any additional courses in which students enroll may be taken for a grade of Satisfactory/Unsatisfactory, including lower-division language courses taken in preparation for satisfying the language requirement.

In addition to the twelve courses that must be completed before the qualifying examination, each student must take English 375, "The Teaching of Composition and Literature" (or its equivalent in a comparable department, under special circumstances). This course must be taken no later than the semester in which the student first serves as a Graduate Student Instructor, typically in the fall semester of the third year. This requirement may be waived, with permission from the Graduate Chair, if the student has acquired significant teaching experience before entering the program.

REQUIREMENT 2: BREADTH

A student must take one course at the graduate level in the Department of English from each of the following four historical groups:

- 1) Medieval through Sixteenth Century (British);
- 2) Seventeenth through Eighteenth Century (British and/or American);
- 3) Nineteenth Century (British, American, and/or Anglophone);
- 4) Twentieth Century (British, American, and/or Anglophone).

The courses satisfying these four groups must include at least one course in American literature, but no more than two such courses. No more than one group is to be satisfied by a course in Anglophone literature.

No single course may be used to satisfy more than one group. A student may satisfy a group with a course that covers material in more than one group, provided that the main emphasis of his/her work in the course falls on material in the relevant group. In such a case or in any case where ambiguity might arise, the student should ask the instructor to indicate which group the student's work has satisfied.

If an Old English course is used in partial satisfaction of the language requirement (page 4), it cannot also count as satisfying the requirement of a course in group 1.

One independent study course, English 298, may be used to fulfill a breadth requirement, contingent on prior approval of the Graduate Chair. No more than two groups may be satisfied by graduate-level courses completed at other institutions (transfer courses).

Information About Courses

All information regarding courses may be found on the following web sites:

The Berkeley Academic Guide, <http://guide.berkeley.edu/courses/>

The Online Schedule of Classes, <http://classes.berkeley.edu/>

English Department Course offerings and descriptions, <http://english.berkeley.edu/courses/>

REQUIREMENT 3: LANGUAGES

For the Ph.D. in English, the student must demonstrate either:

- 1) Proficiency in two approved foreign languages; at least one of these must be satisfied by exam; or
- 2) Advanced knowledge in one approved foreign language.

Before the review of course work at the end of the second year, a student must have been certified as proficient in at least one approved language. The student must complete the entire language requirement before taking the oral qualifying examination.

There are no "canonical languages" in the program. Rather, each new Ph.D. candidate will prepare a brief "Preliminary Rationale for Language Study" indicating the manner in which he or she intends to fulfill the language requirement; this rationale is subject to the Graduate Chair's approval. The student's statement will specify which languages are to count, how they relate to the student's intellectual interests, and on which level (proficiency or advanced) the competence in each language is to be demonstrated. If the student believes that part of the requirement has already been met by recent course work (see below), the rationale should also include that information.

The Graduate Division will accept any natural language with a system of writing if the department certifies that the language has scholarly value in the field; if the language is integral to the training of a particular student; and, if a member of the Berkeley academic senate qualified to administer the examination is available. Each student selects the language(s) used to satisfy this requirement from a set of languages certified by the Graduate Council. Students may substitute an uncertified language only if the Graduate Chair makes such a request and it is approved by the Dean of the Graduate Division.

Proficiency is understood as the ability to translate (with a dictionary) a passage of about 300 words into idiomatic English prose in ninety minutes. Copies of past examinations are available through the Graduate Resources section of the department website. Proficiency examinations are given three times annually, during the third week of instruction in the Fall and Spring semesters and at the end of the Spring semester. (Please note the specific information announced each term by the Graduate Office.) A student has the option of not handing in the examination. Examinations passed elsewhere will not be accepted in fulfillment of this requirement.

The language requirement can be met, wholly or in part, through prior or current course work. A student who has successfully completed one upper-division or graduate literature course in a language approved by the Graduate Chair may count that language toward fulfillment of the proficiency requirement. The same credit can be given for successful completion of a course after enrollment at Berkeley. In the case of Old English, however, two upper-division or graduate courses are needed. In the case of Latin or Greek, the proficiency requirement may be satisfied by completing the Summer Workshop with a grade of "B" or better.

Advanced knowledge in an approved language will be granted upon the successful completion of a number of upper-division or graduate courses in the literature of that language, as follows:

- 1) three such courses taken prior to enrollment at Berkeley;
- 2) two such courses taken after enrollment at Berkeley; or
- 3) two such courses taken prior to enrollment at Berkeley plus one such course taken at Berkeley thereafter.

In order to be counted toward either proficiency or advanced credit, a given course (whether current or prior) must be passed with a grade of "B" or better. Prior courses must normally have been taken within four years of enrollment at Berkeley. Courses in which the foreign literature is read in English cannot be counted toward the requirement. If there is any other compelling evidence of linguistic competence, such as a record of published translations, the student may petition the Graduate Chair for credit in this language.

Advising

The Graduate Chair serves as Director of Graduate Studies and as the head graduate adviser for all students in every phase of the program. S/he is available for consultation during regularly scheduled office hours and by appointment. The Graduate Chair provides final departmental approval for all programmatic matters, including satisfaction of the course and language requirements, fields for the qualifying exam, and the dissertation prospectus, as well as for various administrative and bureaucratic matters. The Graduate Chair will hold a mandatory meeting with each incoming student during orientation week to review requirements and discuss strategies for fulfilling them over the next two years. One function of this meeting will be to inform students of faculty members who share their academic interests and, in turn, inform faculty members of students being directed their way. In addition, the Graduate Chair will serve as the Second-Year Review adviser for students who have not already chosen an orals adviser. The Graduate Student Affairs Officer also serves as an adviser regarding the technical details of the program's requirements and for administrative and bureaucratic matters.

All entering students will be assigned a faculty mentor to give additional guidance on course work, language requirements, and professional development in the first two years of the program. There is no expectation that first-year mentors will serve on an advisee's qualifying exam or dissertation committee, though they may serve. Mentors will be assigned by the Graduate Chair in consultation with the Admissions Committee Chair.

The Second-Year Review

Graduate Students in their fourth semester will receive from the Graduate Office a [‘Review of Course Work’](#) form asking them to record completed course work and delineate the satisfaction of the program's course and language requirements; the form also asks students to identify an orals adviser. Students who have not identified an orals adviser will meet with the Graduate Chair. Along with the ‘Review of Course Work’ form, students also will receive a copy of the [‘Second-Year Review Adviser's Guide,’](#) a series of questions aimed at focusing the conversation between a student and his or her adviser. Students should return the completed ‘Review of Course Work’ form to the Student Affairs Officer, who will confirm and record the information and forward it to the students' orals adviser or the Graduate Chair.

After submitting a completed 'Review of Course Work' form, the student will arrange a meeting with his or her orals adviser or the Graduate Chair. At this meeting, the adviser will review with the student the completed review form and work with the student to develop a plan for fulfilling any course or language requirement not yet satisfied. With the help of the '[Second-Year Review Adviser's Guide](#),' the adviser will also discuss with the student his or her academic goals, advising the student on how to refine and meet these goals, and addressing any apparent obstacles to the student's progress. The adviser may help the student preliminarily to determine historical fields and a specific area of interest for the qualifying exam and dissertation.

At the end of the meeting, the adviser will sign the student's review form and comment briefly on the outcome of the meeting. The student will return the signed form to the Graduate Office. The Graduate Chair will review and countersign the form, if necessary, confirming the student's progress toward orals. The Graduate Chair will, in the case of a student who has made only uncertain progress toward fulfillment of the program's requirements, arrange to meet with the student and his or her review adviser to determine the conditions under which the student might proceed to the Ph.D. oral qualifying examination.

PLEASE NOTE: It is essential that any incomplete grades be removed at the earliest possible date. Incompletes can keep the student from advancing to the qualifying exam and adversely affect the student's chances for fellowships, teaching appointments, and readerships. For courses taken since the fall of 2016, an instructor may submit incomplete grades through CalCentral. Incomplete grades for courses taken prior to fall 2016 are changed by petition, which should be submitted to the instructor with the final work for the course. The instructor sends this petition to the Registrar once a course grade is assigned.

The M.A. Degree for Students in the Ph.D. Program

The Ph.D. program has no comprehensive M.A. requirement. Doctoral students who wish to take the M.A. because they have decided to leave the Ph.D. program or in transit to the Ph.D. as an additional credential for a specific professional opportunity may do so in one of the following ways:

- 1) By writing an M.A. thesis; or
- 2) By passing a written M.A. examination

Students who select the thesis option must fulfill the historical breadth requirement and complete at least 20 total units with a minimum overall grade-point average of 3.0. The thesis must be directed and approved by a three-member faculty committee configured as follows: a Chair (English Dept.); a second inside reader (English Dept.); a reader from outside the English Dept. (this is preferred, but not required and may be replaced by a third English Dept. reader). The thesis must also conform to Graduate Division guidelines: <http://www.grad.berkeley.edu/policies/pdf/disguide.pdf>.

Students who select the written examination option must fulfill the historical breadth requirement and complete at least 24 total units with a minimum overall grade-point average of 3.0. Students must petition to take the exam, by written request of the Graduate Chair, before the end of the fifth week of the semester. Students select one historical field on which to be examined and may submit a brief written statement describing specific interest(s) within that field. The Graduate Chair appoints two faculty members who each write two broadly conceived questions, from which the student selects two to answer, for an eight-hour open-book exam. The exam is sent electronically by the graduate office to the student at an agreed upon time and the student must return his or her essays electronically within eight hours. Both faculty members grade the exam; in cases of disagreement, a third faculty member will be consulted.

The M.A. with Emphasis in Creative Writing for Students in the Ph.D. Program

For students interested in writing a creative M.A. thesis the Graduate Program requires enrollment in the Ph.D. program, enrollment in a 243, and a proposal, submitted by the student, to the department's Creative Writing Committee. The proposal should be between five-hundred and one-thousand words long; it should describe a field of concern and/or an initial argument for the text. The interested student should also send her likely thesis director a copy of this proposal.

After the proposal is received and approved by all parties, the student and the thesis director will agree on a date for the work's completion and will meet regularly to examine drafts of the work and discuss the progress of the project. The student must inform the Graduate Student Affairs Officer in writing about the proposed completion date. The Graduate Student Affairs Officer will then add the M.A. as a degree goal in CalCentral.

The thesis committee should be composed of a director and a second reader. The Creative Writing Committee suggests that while one member of the thesis committee may be from a traditional academic field, the other should be a colleague whose primary expertise is writing. The final shape of the thesis is to be decided by the student and the thesis director. The thesis *in toto* may be, for example, a group of discrete and connected pieces or a sustained single project. Or the director and the student may decide that a critical introduction should form a part of the thesis. (Such an introduction might be a record of the student's changing concerns since the proposal, a contextualization of the writing in terms of genre, an inquiry into publication and distribution values, etc.)

Once a thesis has been approved, the director should confirm completion to the Graduate Student Affairs Officer, who will record the fulfillment of the degree goal with Graduate Division.

In some exceptional cases, the M.A. with an Emphasis in Creative Writing may be made available to doctoral students in other cognate departments. In such cases, all requirements above will apply, in addition to any others imposed by the Graduate Division.

Questions about procedure should be directed to the chair of the Creative Writing Committee.

REQUIREMENT 4: QUALIFYING EXAMINATION

Students who have fulfilled all course and language requirements may proceed to the oral qualifying examination. Students are expected to take the qualifying examination by the end of the third year. This is considered normative time by the department and the Graduate Division.

The Qualifying Examination consists of three parts of approximately equal time, which should be about thirty minutes each. Two of these parts examine students on each of their two historically defined fields, chosen from the list below--namely any two contiguous fields from this list, or any single field and a related field chosen from other Anglophone literatures. In most instances, the fields are contiguous if their numbers are consecutive. Contiguity can also be established by crossing national borders; thus, for example, field 4 (Seventeenth Century through Milton) is deemed contiguous with field 10 (American Seventeenth and Eighteenth centuries). Students with special research interests must petition to the Graduate Chair for approval to declare two non-contiguous fields.

Historical Fields

- | | |
|---|--|
| 1. Old English | 7. Romantic |
| 2. Middle English | 8. Victorian |
| 3. Sixteenth Century | 9. British Twentieth Century* |
| 4. Seventeenth Century through Milton | 10. American 17 th - & 18 th - Centuries |
| 5. Restoration and Early Eighteenth Century | 11. American Nineteenth Century |
| 6. Later Eighteenth Century | 12. American Twentieth Century* |

* An emphasis in either modern or contemporary literature must be specified

The English Department does not provide a single orals list for each historical field, because we believe Ph.D. students can benefit from constructing field lists themselves. We understand, however, that the EGA and the Graduate Office keep a record of student-generated lists and recognize that these are valuable study aids, available for students to examine and compare. We also encourage students to consult with faculty about the lists. This process should begin with the orals adviser, but may extend to all members of the committee, including the field examiners. Historical field lists are advisory rather than contractual and do not rule out the possibility that the conversation may range more broadly. While students may not consult historical field lists during the exam, faculty may use them to inform and focus their exam questions.

The third part examines students on field statements of their own design and explores topics in preparation for the dissertation. A field statement of fifteen to thirty pages, plus a bibliography of no more than four pages, will be approved by the student's adviser and field statement examiner and then submitted to the Graduate Office, at least thirty days prior to the oral qualifying examination, for circulation to the full committee. (For students offering statements in fulfillment of requirements in other programs, such as Medieval Studies, this length may vary.) Failure to approve the field statement will delay the examination. The field statement is not a prospectus setting out the specific plan of research for a dissertation, but a broader and more preliminary engagement with the theoretical, methodological, and disciplinary resources that may best help a student work toward a prospectus. The field statement's bibliography provides the sources that inform the writing of the statement. The statement may proceed as a single discussion or may be modular in structure, articulating a series of questions and arguments about the works on the bibliography.

One full semester before the student intends to take this examination, he or she should submit for the approval of the Graduate Chair a departmental orals application, signed by the orals adviser, listing the two historical fields and a brief description of the third field. The application form is available on the Graduate Program website. The student and adviser together are encouraged to propose the names of appropriate examiners; suggestions for the 'outside examiner' (see below) are especially welcome. There can be no guarantee, however, that the actual committee will match the proposed list. While students may wish to do 'mock' exams with their advisers as practice for the actual qualifying examinations, they should not do 'mock' exams with any member of the faculty who is a potential or actual field examiner.

Ph.D. qualifying examination committees will consist of five ladder faculty, at least three of whom must be from the English Department, who will serve in the following capacities:

- A. **TWO FIELD EXAMINERS:** Each of these examiners has the primary responsibility for one of the historically defined fields listed by the candidate in his or her description of the fields. A field examiner should expect to conduct a direct examination of approximately thirty minutes on that field.

- B. **A FIELD STATEMENT EXAMINER:** The field statement examiner should expect to conduct an examination of approximately thirty minutes on the candidate's field statement, circulated to the full committee prior to the exam.
- C. **ONE GENERAL EXAMINER:** The primary aim of the general examiner is to maintain an overview of the examination and to ensure that the standards of the Graduate Division and the Department have been met.
- D. **THE CANDIDATE'S ADVISER:** The faculty member primarily responsible for guiding the candidate in his or her selection and preparation of fields will also be on the committee. The adviser's presence should reduce the ambiguities and misunderstandings that can arise between the candidate and the committee as to the nature and content of the fields under examination.

THE CHAIR: One of the examiners, though not the adviser, will chair the examination. The chair must be from the English Department and a member of the Berkeley Division of the Academic Senate. The chair ensures that the examination is well-conducted and thorough as well as fair to the candidate, that there is a judicious coverage of the material in breadth and depth, clear lines of questioning, and a variety of approaches to the material. The chair is also responsible for drafting the report of the examination results. The chair should circulate an initial draft to all exam committee members within seven days of the exam. While the chair may wish to incorporate suggestions from other committee members, ultimate authority over the content of the report remains with the chair (though any committee member has the option of filing a letter supplementing the chair's report). The final report should be no more than 700 words and must be filed in the Graduate Office within 14 days of the exam. The chair of a qualifying examination cannot go on to become the candidate's dissertation director.

THE OUTSIDE EXAMINER: The outside examiner must be a Berkeley Academic Senate member and serves as the Dean's representative in order to lend the necessary balance and independence needed to ensure that the candidate's mastery of the subject matter is broad and comprehensive. The outside examiner may serve as a field examiner or as the general examiner but cannot chair the exam. A faculty member whose appointment is split between another department and English cannot serve as the outside examiner.

Advancement to Candidacy for the Ph.D.

When the student has fulfilled the program's first four requirements, he or she must apply to the Dean of the Graduate Division for advancement to candidacy for the degree of Doctor of Philosophy. This is done in CalCentral, with the Higher Degree Committees Form, available through the "Student Resources" section. A candidacy fee of \$90 will also be assessed and charged to the student's account. At this time, students should also inquire in the Graduate Office if they are interested in acquiring the degree of Candidate in Philosophy (C.Phil.), for which they are now eligible.

In order to be eligible for GSI or TA appointments in year four, students must submit their application for candidacy no later than June 30 of year three for a spring advancement date. Students who fail to file for advancement within normative time (by the end of the third year) may work as Readers for support and to cover fees, but will remain on the waiting list for all GSI appointments until they file for candidacy.

After advancement is approved, the candidate will undertake 12 units of Independent Study (English 299) for prospectus or dissertation writing. The Class Number must be obtained each semester from the Graduate Office.

REQUIREMENT 5: DISSERTATION

Dissertation Committees

The dissertation committee is normally composed of three or four faculty members: the Chair, one or two secondary readers, and an outside reader. The Chair and at least one secondary reader of the dissertation must be from the English Department. The Graduate Division regards the Chair and second reader as “inside” members of the committee.

The outside committee member (Academic Senate Representative) must be a member of the academic senate, from another Berkeley department. In keeping with university policy, the outside reader of the dissertation represents the Dean. The outside member cannot serve as chair or co-chair, or as an inside member of the same committee.

The Graduate Division requires one secondary inside reader (and thus a minimum of three faculty members) for all dissertations. For some projects, it may be desirable to add a fourth member to the committee as an additional secondary reader. There are different options for adding a fourth committee member.

A student can invite an English department faculty member to serve as an additional secondary reader. This is warranted when a student’s project requires additional faculty expertise from within the English department. This reader is thus an additional inside reader. The role played by such a reader should supplement but in no way replace the core advising carried out by the Chair or other members of the committee. If the student chooses to add such a reader, the role of that English faculty member should be clearly understood by the whole committee. It is recommended that the student consult with his or her dissertation Chair about the appropriateness of adding a reader from within the department. No official approval is required by the Graduate Chair to add an English department faculty member as a reader.

Committees may be co-chaired, but not by two members of the English department. If a committee is co-chaired by a faculty member within the English department and a faculty member from another Berkeley department, it is still necessary to have a second reader (from inside the English department) and an outside reader (from another Berkeley department). Co-chaired committees are by necessity four member committees, with two members from the English department and two members not from English.

University policy states that, with the Dean’s approval, a non-Berkeley faculty member may be added to the committee as a fourth (or fifth) member. A non-Berkeley faculty member cannot serve as the outside reader. Any request to add a non-Berkeley faculty member to the dissertation committee should come first to the Graduate Chair. If approved, the Graduate Chair will petition Graduate Division on the student’s behalf.

Professors Emeriti are members of the academic senate. They may direct dissertations and serve as readers.

Prospectus for the Dissertation

The prospectus consists of an essay and bibliography setting forth the nature of the research project, its relation to existing scholarship and criticism, and its anticipated value. The essay is meant to serve as an introductory “working paper” that articulates in ten to fifteen pages the issues to be addressed in the dissertation, the approach the candidate expects to take, the relation of that approach to recent knowledge and judgment as expressed in published sources, and an indication of how the candidate plans to begin the project. The bibliography, of approximately five pages, represents a preliminary survey of the pertinent primary and secondary literature. The prospectus should be developed in consultation with the dissertation director and presented for his or her approval within one or two semesters following the qualifying examination.

Since the prospectus conference is not an examination, the director must not allow the conference to be scheduled until he or she is reasonably satisfied that the prospectus has been carefully written and proofread, that its bibliographic citations are pertinent and up to date, and that the general line of proposed argument is sound. The prospectus conference is not an appropriate setting for negotiating fundamental differences between the candidate and director.

When the student is ready to schedule a prospectus conference, he or she must first submit a copy of the prospectus, approved and signed by the dissertation director, to the Graduate Chair, at least two weeks prior to the conference date. Prior to the conference, the student must also obtain from the Graduate Program website the prospectus approval form, which the dissertation committee members should sign after the conference, giving final approval to proceed with the dissertation; the student should return the signed approval form to the Graduate Office.

At the prospectus conference, the committee members explore with the candidate the issues outlined in the proposal. Normally the conference takes about an hour. Its purpose is to enable the candidate to begin work on the dissertation having benefited from a full and detailed discussion with all concerned faculty present. If it seems appropriate, the Graduate Chair may request further written clarification of the project before giving it final approval. After the conference, the candidate writes a memorandum of the discussion and submits copies to each member of the committee and to the Graduate Chair, whose copy will be placed in the candidate's file. The other copies will serve the dissertation committee members as a baseline of expectations and will be especially useful in the next year's meeting of the dissertation candidate with his or her committee--a gathering now mandated by the Graduate Division, which expects an annual report of progress toward completion.

The prospectus conference must be completed by the end of the fourth year. Students entering year five who have not completed the conference may work as Readers for support and to cover fees, but will remain on the GSI waiting list until the prospectus is approved and the conference is scheduled.

Online Academic Progress Report

All students in candidacy are required to file an annual Doctoral Candidacy Review (DCR) with Graduate Division. The DCR form is available to graduate students and their dissertation chairs through the Graduate Division's web-based system, GLOW: <https://gradlink.berkeley.edu/GLOW/>. Graduate students should complete the form and submit it on-line; dissertation chairs then review the form, add comments, and release it back to the students for response.

The on-line report is available from the first day of the fall semester until the day before the fall semester in the following year. Students who do not file the DCR will not be able to claim their Doctoral Completion Fellowships the following year. They also may be denied other requests from Graduate Division, including extensions of candidacy.

Submission of the Dissertation

The dissertation is the culmination of the student's graduate career; it is expected to be a substantial and original work of scholarship or criticism. Instructions about final deadlines, filing fee eligibility, preparation of the manuscript, approval page, copyright, and embargo should be obtained from Graduate Services, 318 Sproul or on-line at <http://grad.berkeley.edu/academic-progress/dissertation/>

TEACHING APPOINTMENTS

The Graduate Division, in accordance with a contract negotiated with the United Auto Workers, administers all general policies and practices regarding Academic Student Employees (ASEs), including Graduate Student Instructors (GSIs) and Readers. All ASEs are represented by contract with the UAW (<http://ucnet.universityofcalifornia.edu/labor/bargaining-units/bx/contract.html>). For more information about general employment policies and practices, including the labor agreement, see the Graduate Division's web site at <http://www.grad.berkeley.edu/current/>, or go to the office of Graduate Services, 318 Sproul Hall.

To be eligible for academic student employment, as a GSI, GSR (research assistant), or Reader, students must meet [the requirements listed by the Graduate Division](#).

All Academic Student Employees working 25% time or more [are eligible for in-state fee remissions in addition to their pay](#). For more information regarding fee remissions and current pay rates for ASEs, please see the Graduate Division's [Appointments Guide](#).

Graduate Student Instructors

The Department of English employs Graduate Student Instructors (GSIs) in two basic capacities: Teaching Assistants, students in the earlier stages of the program who have not yet passed the qualifying exam and advanced to candidacy, typically lead discussion sections in larger lecture courses taught by faculty members (with preference given to one of the department's English 45 courses); Teaching Associates, advanced graduate students, who either teach their own sections of English 1A/B Reading and Composition or serve as advanced teaching assistants in larger upper-division literature courses.

Teaching Assistants

In February, the Graduate Office will distribute preference forms for appointments to sections of English 45 and other potential lecture courses to be organized with discussion sections. TA assignments to particular sections are made by the Graduate Chair, in consultation with the Student Affairs Officer. These appointments are based on academic record, student preference, departmental need, and any prior teaching experience. Typically, all students at this point who are in good standing will receive a teaching appointment.

Summer Teaching Assistantships

Students who have not already taught as associates and who meet the following requirements can apply for summer Teaching Assistantships: at least one semester of experience as a teaching assistant, preferably in English; strong teaching evaluations; good standing and progress in the Ph.D. program. Assignments are made by the Graduate Chair, in consultation with the Student Affairs Officer. Since summer Teaching Assistantships are few and the interest among graduate students is great, the Graduate Chair will keep in mind as one of the factors in making such assignments whether or not the candidate has had a previous summer appointment.

Teaching Associateships

In February, the Graduate Chair distributes applications for Teaching Associateships for the following summer, fall, and spring. Anyone appointed as an associate must have passed the qualifying examination and advanced to doctoral candidacy. Students who have not yet taken orals at the time of application may still apply but appointments will be for the semester following the exam and contingent upon passing.

Assignments are made by a committee composed of the Graduate Chair, the Pedagogy Chair, and the Assistant Pedagogy Coordinator. Assignments are made on the basis of the following criteria: academic record, teaching performance, progress toward the Ph.D. degree, and the number of semesters the candidate already has taught as a teaching assistant and associate. Since students are guaranteed at least two years of teaching, the Committee will keep in mind its obligation to fulfill such contractual guarantees when making assignments. For example, a student who has taught for only two semesters as an assistant and is in good standing in the program will be given priority for an Associateship over a student who already has taught for two years.

The Department follows the general regulation of the Graduate Division, which normally limits graduate student teaching appointments (Teaching Assistantships and Associateships combined) to four full years (excluding summer appointments). Any portion of a candidate's teaching service that would extend the total duration in both posts beyond the "four-year limit" would place the candidate on the alternate list below applicants who have not yet taught for four full years. Students on this alternate list will require an official exception to the limiting rule, approved by the Graduate Chair. Based on departmental scheduling needs, students may thus be appointed as instructors for up to five years, with a sixth possible only by special exception from the Graduate Division. Applicants on the alternate list will be offered these positions in ranked order as openings occur. By system-wide university rule, the Graduate Division cannot approve exceptions beyond six years of teaching experience.

Readerships

Graduate students in English may be employed to read papers and exams in several of the Department's larger undergraduate courses. A Reader is responsible for the work of at least forty students throughout the term. The pay formula is based on an hourly rate, covering time spent in class and in holding office hours, plus a quantity of reading time per student.

Although readerships usually provide only a fraction of the student's support budget for a semester, a Reader enrolled in the Ph.D. program may also receive academic credit for his or her work in the following manner. With the consent of the undergraduate course instructor in charge of the readership, the Reader may enroll in 4 units of English 298 (Independent Study), to be directed by that instructor and covering the course topic. In addition to normal readership duties, the Reader will write a substantial paper and will receive a letter grade and written evaluation from the instructor. Only one such "Readership 298" may be used to fulfill part of the Ph.D. course requirement. With the graduate adviser's consent, this course may be included as one of the twelve (non-teaching) courses (see Requirement 1, page 1).

Graduate Division Policy on GSI Absences from Teaching

Source: <http://grad.berkeley.edu/financial/graduate-student-employment/guide/#iv-gsi-appointments>

For R1A/B courses, GSIs are responsible for reporting absences from campus and any missed work assignments to the Chair of the Reading and Composition Committee or to the Graduate Adviser. If the absence is unexpected (i.e., due to a sudden illness or accident) or will be for an extended period, GSIs should tell the Department Manager so that alternate arrangements can be made. If a Graduate Student Instructor is absent for a period of five days without prior approval, the department may act according to established campus procedures and practices to replace that instructor.

ASEs may be eligible for various paid and unpaid leaves, including military leave, short-term family-related leaves, long-term family-related leaves, bereavement, and jury duty. Please refer to the [UC-UAW Agreement](#).

CONTINUING STUDENTS: FELLOWSHIPS AND NORMATIVE TIME

Doctoral Completion Fellowship (for students who started the Ph.D. program after 2010)

The [Doctoral Completion Fellowship](#) (DCF) replaces the older Dean's Normative Time Fellowship for students who have entered the program since 2010. The DCF is a two-semester award that provides a \$9,000 stipend in each semester plus all fees and tuition (though it may be taken in non-consecutive semesters), once a student has advanced to doctoral candidacy. Students who accept the DCF may claim the award within normative time plus one year, so through the seventh year, but after this no university (including departmental) fellowship funding can be awarded. Students seeking to take the DCF will also be required to apply for (though not necessarily to win) at least one external fellowship.

To be eligible for the DCF students must:

- Be in good academic standing with a minimum GPA of 3.0.
- Have a current, satisfactory annual progress report (DCR) on file with Graduate Division.
- Submit an application for Advancement to Doctoral Candidacy to Graduate Degrees by the deadline date specified for DCF in Dates for Completion of Requirements for Higher Degrees for that semester.
- Apply for at least one external fellowship.

Claiming the DCF Award

Students who qualify may accept the award at their discretion at any time between their advancement to candidacy and filing their dissertation or the end of the year Normative Time to Degree (NTD) plus one year (seven years, in English). Filing of the dissertation is expected to occur before the end of year NTD+1; consequently, no university fellowship funding is available after year NTD+1 for students who choose to accept the DCF. The Filing Fee option is available to students at any time they qualify. Note to international students: Doctoral candidates may be eligible for a nonresident tuition waiver for three calendar years after advancement to doctoral candidacy. Beyond that period, non-resident tuition is again owed.

Approved DCF Normative Time Calculation Credits

A maximum of two semesters of withdrawal for medical leave only (documented by formal petition) will not count in calculating normative time.

Students with substantial parenting responsibilities (including pregnancy, childbirth, care of a newborn or newly adopted child, or the serious illness of a child) are eligible for two semesters of [parental leave](#), to be taken no less than twelve months after the child's birth or adoption/placement. (An extension of leave may be granted, up to four semesters.)

A woman anticipating childbirth is entitled to receive an extension of up to one year for passing Qualifying Examinations, and an extension of up to one year of normative time in candidacy for the degree. Any graduate student experiencing other substantial parenting responsibilities is entitled to receive an extension of up to six months for passing Qualifying Examinations, and an extension of up to six months of normative time in candidacy.

Re-enrollment following parenting leave shall be automatic.

Note: Withdrawal for research or other academic purposes does count in accrued time. If no withdrawal form is filed, the interim semesters count in accrued time. Retroactive withdrawals are not permitted for purposes of altering the calculation of Normative Time.

For information regarding other fellowships administered by Graduate Division and for extramural fellowships, see the list on the Graduate Resources page of the English Department's web site.

English Department Dissertation Fellowships

Every fiscal year, the English Department receives "Block Grant" funds from the Graduate Division. We use this money for both entering and continuing student fellowships. In February, the Graduate Chair invites advanced graduate students to apply for continuing fellowships.

In order of importance, the selection criteria for English Department Dissertation Fellowships are merit, timely progress toward the Ph.D. degree, and relative lack of previous support. Merit is by far the most important factor in awarding a fellowship. Previous support is usually used as a tie-breaker; that is, in the case of candidates who have been ranked as equally deserving, preference often will be given to the candidate who has received less support.

"Merit" in the case of dissertation fellowships is determined by the Continuing Fellowships Committee consisting of the Graduate Chair and two other English Department faculty members. The Department Chair gives special attention to field, gender, age, race, and ideological balance in constituting the Continuing Fellowship Committee.

Each committee member evaluates all of the applications for the Dissertation Fellowships. These applications consist of the student's statement of purpose, a writing sample, and one faculty recommendation. Committee members also have access to students' Graduate Office files, consisting of Berkeley transcripts, earlier fellowship applications, and other related materials.

The Berkeley Connect Mentoring Program Fellowship

"Berkeley Connect in English" has two goals: [1] to provide mentoring to the English Department's declared majors and to undeclared or transfer students considering an English major and [2] to provide tuition and fellowship support to Berkeley Connect Fellows, current graduate students or (more rarely, in exceptional circumstances) recently graduated Ph.D.s.

The Berkeley Connect Program provides a new model of undergraduate mentoring that is anchored in the academic discipline within which students pursue their degree and directly subject to the influence of the professors in that discipline. It goes beyond, but does not replace, the procedural advising currently invested in the professional staff of the College of Letters and Science and the department's own Student Services Adviser. These staff members provide important information about graduation and distribution requirements, enrollment and "drop" deadlines, and other matters that allow the student to make timely progress toward the B.A. degree. However, most undergraduates need more than basic advising to map out a successful college career. They need a kind of mentoring, or role-modeling and counsel, which is less measurable than advising in institutional terms. The Berkeley Connect Program involves two or three faculty members, who work with six Berkeley Connect Fellows. The Berkeley Connect Fellows mentor two small groups of undergraduates each; there are about 20 students per group, and the groups are sorted into three categories: lower-division students, upper division non-transfer students, and transfer students. Each semester, the Berkeley Connect syllabus includes three kinds of activities: *small group meetings*, which focus on topics of interest to undergraduate English majors (such as "What is the English Major?" and "Keywords for English Majors"); *events for larger groups* (ranging from visits to important resources

at Berkeley [like the Bancroft Library and the Berkeley Art Museum], to a Career Panel to faculty presentations of various kinds [such as "The Book that Made Me a Professor "]; and *one-on-one advising* on course selection and other issues facing English majors. These activities take place approximately every other week, and on the off weeks the Berkeley Connect Fellows hold office hours for whatever students wish to consult them. Fellows also assist in providing a range of other resources, such as the formation of creative writing workshops, study groups, lists of internships, guides to events, and so on.

Berkeley Connect Fellows spend approximately 8-10 hours per week on meeting with students, attending events, leading discussion, and working with the Berkeley Connect director and faculty members. While discussion of academic work will obviously play a role in being a mentor, **mentoring explicitly does *not* involve help with paper writing, reading drafts or teaching analytical skills. Thus, discussion preparation and paper grading are not part of the duties of a mentor.** At the same time, however, being a mentor, like being a GSI, will entail being accessible and responsive by email. Past Berkeley Connect Fellows reported that mentoring takes substantially less time than teaching, which leaves plenty of time for research.

SUMMER SUPPORT FOR LANGUAGE STUDY

Every student who is making satisfactory progress may apply to the Graduate Chair for summer support for language study. The department covers the cost of taking a summer language course at Berkeley. Students may choose to enter a summer language program elsewhere, upon approval of the Graduate Chair. The fees for summer language study at an institution other than Berkeley will be reimbursed to the student upon documentation of the successful completion of the course with a grade of B or above. Travel and living expenses are not reimbursable. Fees for the program are reimbursable only up to the cost of the same or an equivalent course taken here at Berkeley. Receipts for fee payment are necessary for reimbursement. The department can also make a direct payment of fees to the program.

Students may receive summer support for language study one time only from the department.

The application for summer support for language study [may be found on the department web site](#) and is also available in hard copy from the Graduate Student Affairs Officer.

ENGLISH DEPARTMENT GRADUATE STUDENT PRIZES

The Joel Fineman Prize is awarded annually for the best essay written by a first-year graduate student in the department. Essays may be nominated by faculty members or submitted directly by students to the Graduate Office. Ordinarily, the deadline for submission will be June 30. Faculty reviewers will judge the prize, which carries a \$250 award.

The Benjamin Kurtz Prize is awarded annually for the best essay submitted in a graduate course. Any paper written by an English graduate student in a graduate course during the academic year is eligible. Essays may be nominated by faculty members or submitted directly by students to the Graduate Office. Ordinarily, the deadline for submission will be June 30. Faculty reviewers will judge the prize, which carries a \$500 award.

The Benjamin and Barbara Kurtz Dissertation Chapter Prize is awarded annually for an outstanding dissertation chapter and promising work toward the dissertation. Complete chapters may be nominated by faculty members or submitted directly by students to the Graduate Office. Students who already have won the Kurtz Dissertation Chapter Prize are ineligible to apply. The deadline for submission will be in late summer or early fall. The Awards Committee and Graduate Program Committee will determine the prize, which carries a \$2,000 stipend.

BERKELEY LECTURESHIPS

Up to four non-renewable, one-year lectureships in English will be awarded to recent recipients of the Ph.D. Under the sponsorship of a faculty mentor, Berkeley Lecturers will pursue their own scholarly research and professional development. They also will teach one upper-division seminar in English each semester and offer extra, drop-in office hours throughout the year to assist undergraduates with their writing. Lectureships will be determined by a committee consisting of the Chair, the Graduate Chair, and the Director of Undergraduate Studies.

ADMINISTRATIVE PROCEDURES

Registration and Enrollment (CalCentral On-line System)

The CalCentral system allows students to enroll in classes on-line (<https://calcentral.berkeley.edu>), well in advance of the next semester. Courses may also be added or dropped using CalCentral during the first three weeks of instruction (the Adjustment Period). After that time, students must contact the Graduate Office to add or drop courses.

Graduate Students enroll in twelve units in each semester to qualify for full-time status and remain eligible to receive fellowships, financial aid support, or to hold academic student employment (GSI, GSR, Reader). Students who have completed the coursework requirements should enroll in 12 units of **English 299**, independent study for dissertation research & writing. Contact the Graduate Office for the Class Numbers for this course.

Withdrawal

Withdrawal requests are submitted in CalCentral, through the Student Resources section. Withdrawn students must file an [Application for Readmission](#) with Graduate Degrees & Petitions, 318 Sproul, prior to the semester in which they wish to return. If needed, along with the application for readmission, students should also file a paper [Statement of Legal Residence](#). All necessary forms can be found on the website of the Office of the Registrar

Establishing California Residency

All out-of-state graduate students who are U.S. citizens or permanent residents, including those on fellowship, should begin to establish California residency status before the beginning of their first semester. It takes one year for residency status to be granted for tuition purposes. If you fail to establish residency, you will be required to pay nonresident tuition in your second year regardless of fellowship status. For further information, please consult the web site for Office of the Registrar (<http://registrar.berkeley.edu/>) or contact the Residency Office, orres@berkeley.edu or (510) 642-7209.

Ph.D. Qualifying Exam Procedures

1. Student hands in [Department Orals Application](#) at the beginning of the semester in which he or she intends to take the exam.
2. Graduate Chair assigns committee members.
3. GSAO schedules exam date in collaboration with the Graduate Chair and the proposed committee members.
4. Once an exam date is confirmed, and no later than three weeks before the exam, student submits Higher Degree Committees eForm

(https://sis.berkeley.edu/sites/default/files/committees_iform_qe.docx) in CalCentral. This form must be then approved by the GSAO.

5. Student receives Notice of Admission to the Ph.D. Qualifying Exam in GLOW (gradlink.berkeley.edu).
6. Upon approval by advisor and field examiner, student submits Third Field Statement to Graduate Office at least 30 days before exam. This statement will be distributed to the entire committee one week before the exam.
7. Upon completion of Qualifying Exam, the chair of the QE committee forwards the report form to the GSAO. GSAO signs the form and forwards it to the Graduate Division.
8. Within two weeks of the exam, the chair of the exam committee provides a written report to the Graduate Chair. This report is for departmental use only and is added to the student's file. The student can access the report and obtain copies of it at any time.

Travel Reimbursements

Graduate students may request reimbursement for travel expenses when incurred for the purpose of presenting a paper at a conference. Students may be reimbursed up to \$400 once per academic year (as long as departmental funds allow) and must submit receipts after the trip is completed.

The Department will fund graduate students up to \$400 to travel to archives critical for dissertation research. To apply, submit a letter to the Graduate Chair specifying which archives you plan to consult and how they will further your research. The dissertation chair should also write a brief letter of support. Upon return, the student must submit an account of the materials s/he found and how the student plans to use them.

The English Department normally pays partial travel expenses for placement candidates who have job interviews at MLA. The amount of reimbursement for eligible candidates will be \$400. The reimbursement form must be signed by the Placement Chair. A student can benefit from the MLA Conference Travel Reimbursement more than once during his or her time at the English Department with the approval of the Placement Chair. The MLA conference reimbursement and the yearly conference travel reimbursement are not exclusive.

Please see the '[Resources](#)' page on the Department's web site, for more instructions regarding reimbursements and the necessary request forms or see the Graduate Adviser.

Disabled Student Program

The Disabled Student's Program (DSP) is committed to ensuring that all students with disabilities have equal access to educational opportunities at UC Berkeley. They offer a wide range of services for students with disabilities while working academically with the Department. Please contact the DSP office directly to learn more about what services may be available.

Disabled Students' Program
260 César E. Chávez Student Center, #4250
Berkeley, CA 94720-4250
Phone: 510-642-0518
www.dsp.berkeley.edu

THE ENGLISH GRADUATE ASSOCIATION

The EGA serves the needs of Ph.D. students in the English department at Berkeley by fostering an intellectual, social, and professional community amongst students and faculty. The EGA is also an information gateway of sorts, helping students access resources outside the department as well as bringing outside resources to the department throughout the year with various talks, colloquia, and workshops.

All English graduate students are automatically members of the EGA. The Association has officers, but merely for ease of organization; it welcomes and expects input and labor from all grads. The EGA is also represented on various departmental committees and forms mini-committees of its own as need arises. Positions are awarded by election at the end of each academic year for the coming year. English graduate students may join the EGA listserv and stay informed of events throughout the year. For more information, please see the EGA web site at http://english.berkeley.edu/graduate/EGA_directory.

DEPARTMENT OF ENGLISH FACULTY

Elizabeth Abel, *20th-c. Literature, Gender & Race Theory*
Charles Altieri, *20th-c. Literature, Poetry, Literary Theory, History of Ideas*
Oliver Arnold, *Renaissance & Early Modern, Drama*
Stephen Best, *19th-c. American Literature, African American Literature, Critical Theory*
C. D. Blanton, *20th-c. British Literature, Modernism, Poetry, Critical Theory*
Mitchell Breitwieser, *American Literature*
Vikram Chandra, *Fiction Writing*
Mark Danner, *Non-fiction Writing*
Kathleen Donegan, *Early American Literature*
Ian Duncan, *18th- & 19th-c. British Literature, Narrative & the Novel*
Nadia Ellis, *Caribbean, Postcolonial, & African American Literature, Gender & Sexuality Studies*
Eric Falci, *Contemporary Poetry, 20th- & 21st-c. British & Irish Literature*
Catherine Flynn, *20th- & 21st-c. British & Irish Literature, Critical Theory, Narrative & the Novel*
Anne-Lise François, *18th- & 19th C. British Literature, Critical Theory, Poetry*
Joshua Gang, *20th- & 21st-c. British Literature, Narrative & the Novel*
C. S. Giscombe, *Poetry*
Mark Goble, *20th-c. Literature, Modernism, Film*
Steven Goldsmith, *Romantic Literature, Literary Theory*
Amanda Goldstein, *18th- & 19th C. British Literature, Critical Theory, Poetry*
Marcial Gonzalez, *20th-c. American Literature, Chicano Literature*
Kevis Goodman, *18th-c. & Romantic Lit., 17th-c. Poetry*
Dorothy Hale, *American Literature, the Novel, Modernism, Literary Theory*
Kristin Hanson, *Linguistics, Metrics & Poetic Forms*
Robert Hass, *Poetry*
Lyn Hejinian, *Poetry*
Abdul JanMohamed, *Third World, African American, & Colonial Literature, Cultural Studies*
Donna Jones, *Postcolonial, Literature of the Americas*
Steven Justice, *Late Medieval Literature*
Victoria Kahn, *17th C. Literature, Milton, Critical Theory*
Georgina Kleege, *Creative Nonfiction, Disability Studies*
Jeffrey Knapp, *English Renaissance, Drama*
David Landreth, *Renaissance Literature*
Celeste Langan, *Romantic Poetry, 19th-c. Literature*
Grace Lavery, *Victorian Literature, Critical Theory, Gender & Sexuality Studies*
Steven Lee, *20th- & 21st-c. American Literature, Pacific & Asian American Literature, Cultural Studies*
Andrew Leong, *19th- & 20th-c. American Literature, Pacific & Asian American Literature*
Colleen Lye, *20th-c. Literature, Asian American Literature, Critical Theory*
David Marno, *Early Modern Literature, Drama, Poetry*
Donald McQuade, *American Literature, Theory & Practice of Non-Fiction*
D.A. Miller, *19th-c. British Literature, Film, Narrative & the Novel*
Jennifer Miller, *Early Middle English/Medieval Literature, Romance*
Maura Nolan, *Middle English/Medieval Literature*
Geoffrey O'Brien, *Poetry*
Katherine O'Brien O'Keeffe, *Old English/Medieval Literature*
Samuel Otter, *17th- 18th, & 19th-c. American Literature*
Genaro Padilla, *American & Chicano Literature*
Joanna Picciotto, *17th- & 18th-c. British Literature*
Kent Puckett, *19th-c. British Literature, Narrative & the Novel, Literary Theory, Film*
Poulomi Saha, *South Asian Literature, Gender & Sexuality Studies, Cultural Studies*

Scott Saul, *20th-c. American Literature, American Studies*
Susan Schweik, *Feminist Theory, American Women Writers, Modern Poetry, Disability Studies*
Namwali Serpell, *19th- & 20th-c. British & American Lit., Narrative & the Novel*
Katherine Snyder, *19th & 20th-c. British & American Literature*
Janet Sorensen, *18th-c. British Literature*
G. A. Starr, *18th English Literature, the Novel*
Elisa Tamarkin, *17th-, 18th-, & 19th-c. American Literature, Transatlantic Studies*
James Grantham Turner, *17th- & 18th-c. French & English Literature*
Bryan Wagner, *American & African American Literature*
Hertha Sweet Wong, *American Literature & Native American Studies*
Dora Zhang, *Modernism, Comparative Literature, Narrative & the Novel*

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